

Ramakrishna Mission Vivekananda College (Autonomous), Chennai – 4
Department of Business Administration
B.B.A. Syllabus from Academic Year 2019-20

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| Year, Semester & Major/Allied/Elective | Third Year – Fifth Semester – Core Elective Paper No. 1 | | |
| Subject Title | Capital Markets & Investment Management | | |
| L T P & Credits | 4 0 0 5 Credits | Teaching Hours | 70 Hours |

| Unit | Contents | Hrs. |
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| I | Financial Systems: Main functions of the financial system – Types of financial systems – Securities markets. Primary Markets: New Issue Market – Primary Market Intermediaries – Listing of Securities – Private Placement – Qualified Institutional Buyers – Rights Issue & Bonus Issue. | 15 |
| II | Secondary Markets: Functions of Stock exchange – National Stock Exchange of India (NSE) & BSE – Trading mechanism of stock exchange – Online Trading – Secondary Market Intermediaries. Depository System: Depository – Depository Participant – Benefits of Depository Services. | 10 |
| III | Capital Market Instruments: Securities – Debentures – Derivatives (Forwards, Futures and Options) – American Depository Receipt – Global Depository Receipt- Indian Depository Receipt. | 10 |
| IV | Investment Management: Investment Objectives – Investment Alternatives – Attributes of an investible instrument – Types of Investors – Speculation – Gambling – Hedging. | 15 |
| V | Investment Analysis: Systematic & Unsystematic Investment Risks – Credit Rating Mechanism – Importance of Credit Rating in Investment – Fundamental Analysis – Technical Analysis (Dow Theory, Charts and Moving Average) – Introduction to Portfolio Management. | 10 |
| VI | Investment Banking: Roles and Functions of Investment Banks – Types of Investment Banks – Principles and Management of Hedge Funds. Regulation of Capital Markets: Functions of SEBI – Authority & Power of SEBI. | 10 |

| Student Performance Assessment and Evaluation: | |
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| Continuous Internal Assessment | Class Tests – 5 Marks, Model Exam – 10 Marks, Assignments & Seminars – 10 Marks. Total – 25 Marks |
| End Semester Exam | Section A – 10x2 = 20 Marks (10 out of 12) Section B – 5x5 = 25 Marks (5 out of 7) Section C – 2x15 = 30 Marks (2 out of 4) Total - 75 Marks |

| Text & Reference Books: |
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| <ol style="list-style-type: none"> 1. Securities Analysis and Portfolio Management, V.A. Avadhani, Himalaya Publishing House 2. Investment Analysis and Portfolio Management, Prasanna Chandra, CFM-TMH 3. Investment Analysis and Portfolio Management, M. Ranganatham, R. Madhumathi, Pearson Education 4. Investment Analysis and Portfolio Management, Reilly, Brown, Cengage Learning 5. Capital Markets, Frank J. Fabozzi, Franco Modigliani, PHI 6. Financial Markets and Corporate Strategy, Mark Grinblatt, Sheridan Titman, McGraw Hill |